

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/643528
Applicant: John D. Barber et al.
Title: **ADDITIVES FOR USE IN POLYMER
PROCESSING AND METHODS OF
PREPARATION AND USE THEREOF**
Filed: August 19, 2003
Art Unit: Unknown
Examiner: Unknown
Atty. Dkt. No.: 7241-111/10303809

"Express Mail" Label No. EV 323596859 US

Date of Deposit: November 19, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service Express "Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 
NANCY NOLEN

11/26/2003 GWORDF1 00000036 500337 10643528

01 FC:2201 43.00 DA
02 FC:2202 45.00 DA

865 South Figueroa Street, 29th Floor
Los Angeles, California 90017-2576

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

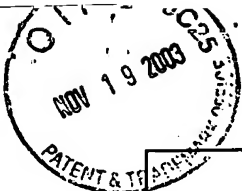
PRELIMINARY AMENDMENT

Sir:

Please amend the application identified above as follows:

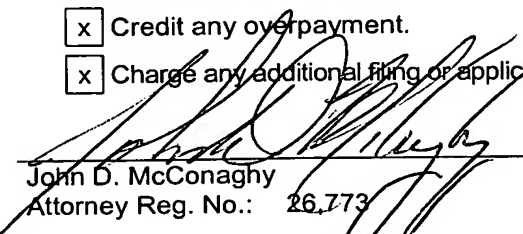
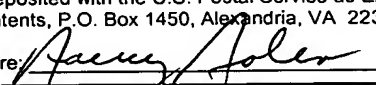
Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 13 of this paper.



11-21-03

11

| AMENDMENT TRANSMITTAL LETTER | | | | Docket No. 7241-111/10303809 | |
|---|----------------------------------|---|-----------------------------|---------------------------------|--------------|
| Application No. 10/643528 | Filing Date August 19, 2003 | Examiner Not Yet Assigned | Art Unit N/A | | |
| Applicant(s): John D. Barber et al. | | | | | |
| Invention: ADDITIVES FOR USE IN POLYMER PROCESSING AND METHODS OF PREPARATION AND USE THEREOF | | | | | |
| TO THE COMMISSIONER FOR PATENTS | | | | | |
| Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below. | | | | | |
| CLAIMS AS AMENDED | | | | | |
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 70 | - 65 = | 5 | x 9.00 | 45.00 |
| Independent Claims | 10 | - 9 = | 1 | x 43.00 | 43.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): | | | | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 88.00 |
| <input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity | | | | | |
| <input type="checkbox"/> No additional fee is required for this amendment. | | | | | |
| <input checked="" type="checkbox"/> Please charge Deposit Account No. <u>50-0337</u> in the amount of \$ <u>88.00</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| <input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed. | | | | | |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. | | | | | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0337</u> as described below. A duplicate copy of this sheet is enclosed. | | | | | |
| <input checked="" type="checkbox"/> Credit any overpayment. | | | | | |
| <input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17. | | | | | |
|  John D. McConaghy Attorney Reg. No.: <u>26,773</u> | | | | Dated: <u>November 19, 2003</u> | |
| FULBRIGHT & JAWORSKI L.L.P. 865 South Figueroa Twenty-Ninth Floor Los Angeles, California 90017-2571 (213) 892-9200 | | | | | |
| Amendment Transmittal | | | | | |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323596859 US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. | | | | | |
| Dated: November 19, 2003 | | Signature:  (Nancy Nolen) | | | |